

Algorithms for a high-quality low-cost reconstructional spectrometer

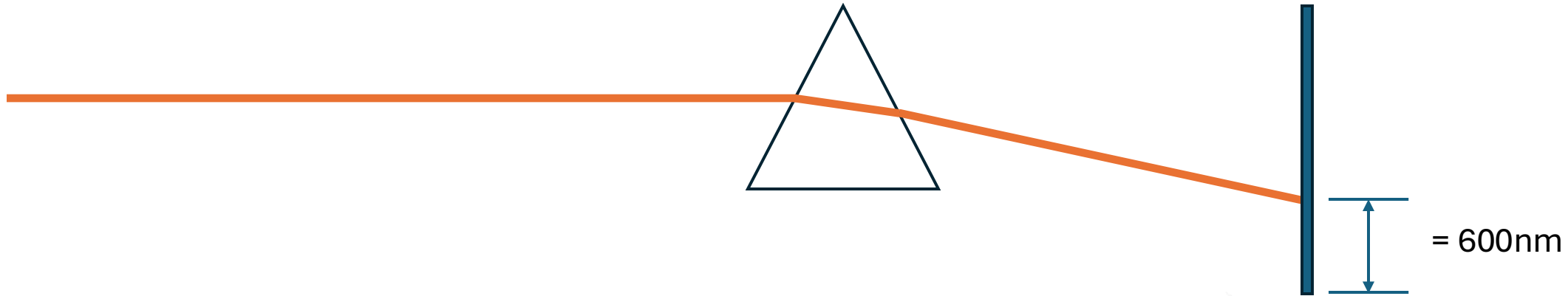
Yu Liu

Supervisor: Dr. Andrea Morandi

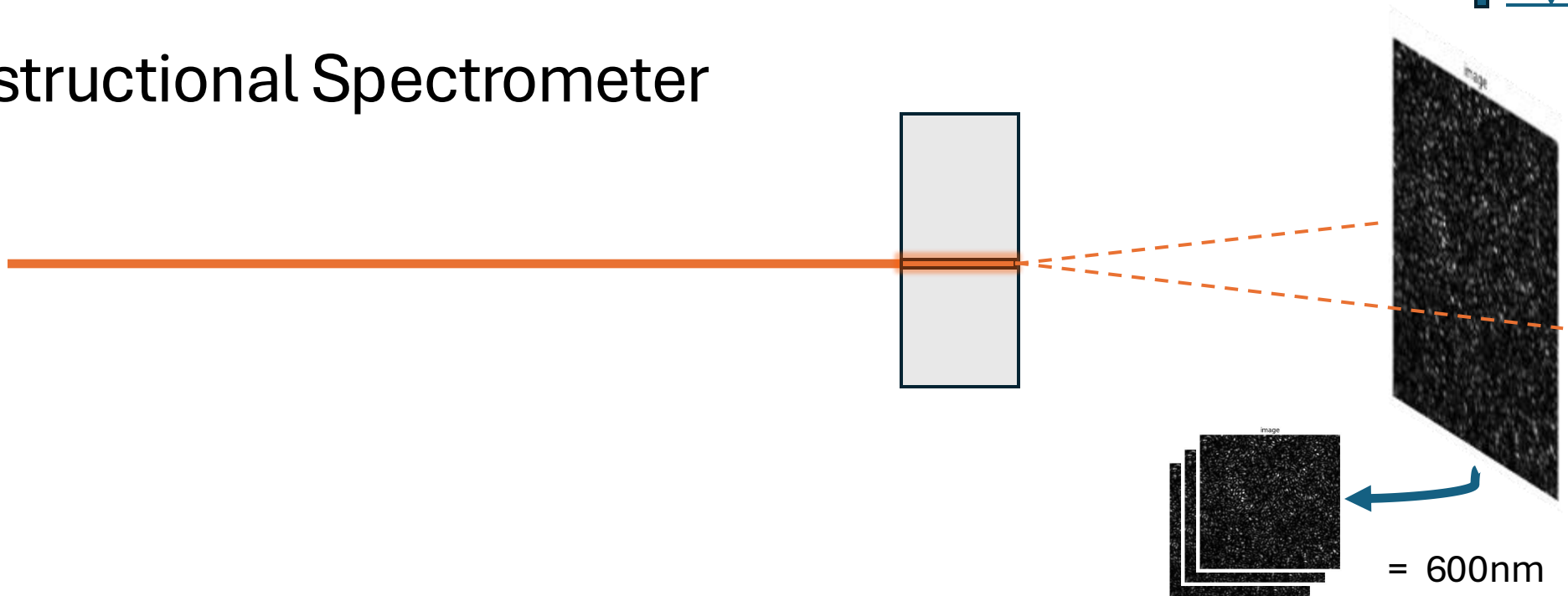
Outline

- **Introduction and goal of the project**
- **Reconstructional spectroscopy algorithms**
- **System setup**
- **Result**
 - **CW laser**
 - **Supercontinuum source**
- **Conclusion**
- **Outlook**

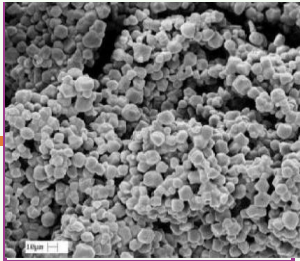
Spectrometer



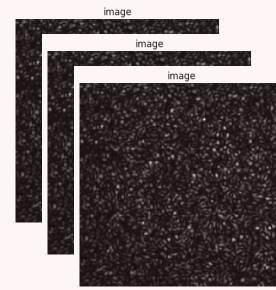
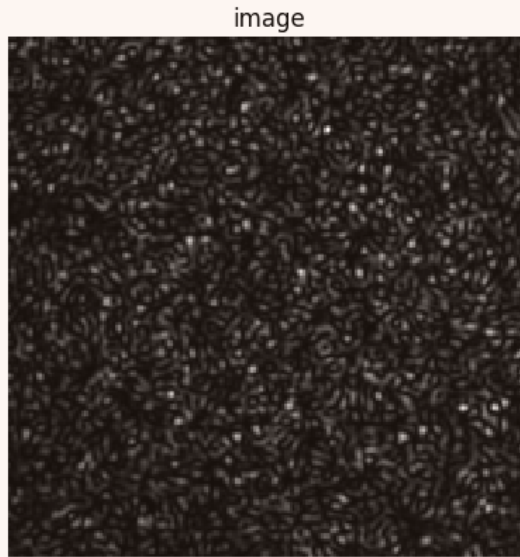
Reconstructional Spectrometer



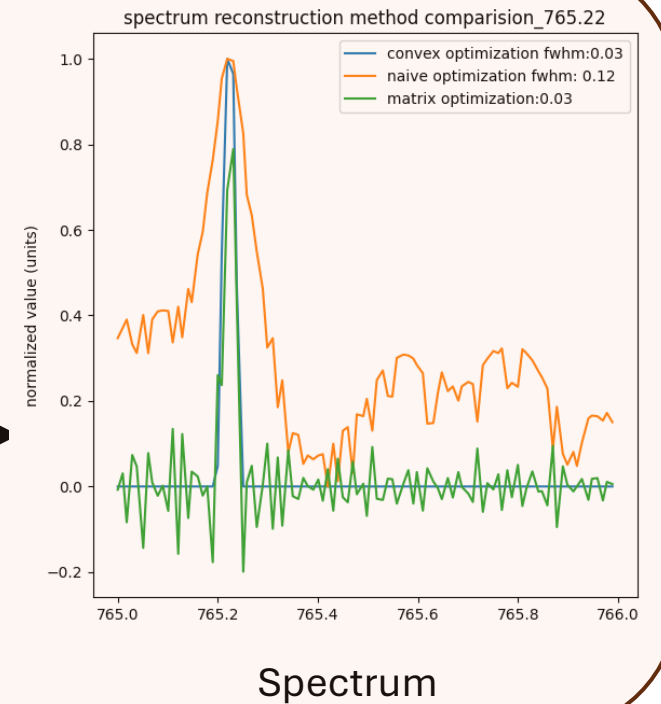
Reconstructional spectrometer



LiNbO_2



Reconstruction

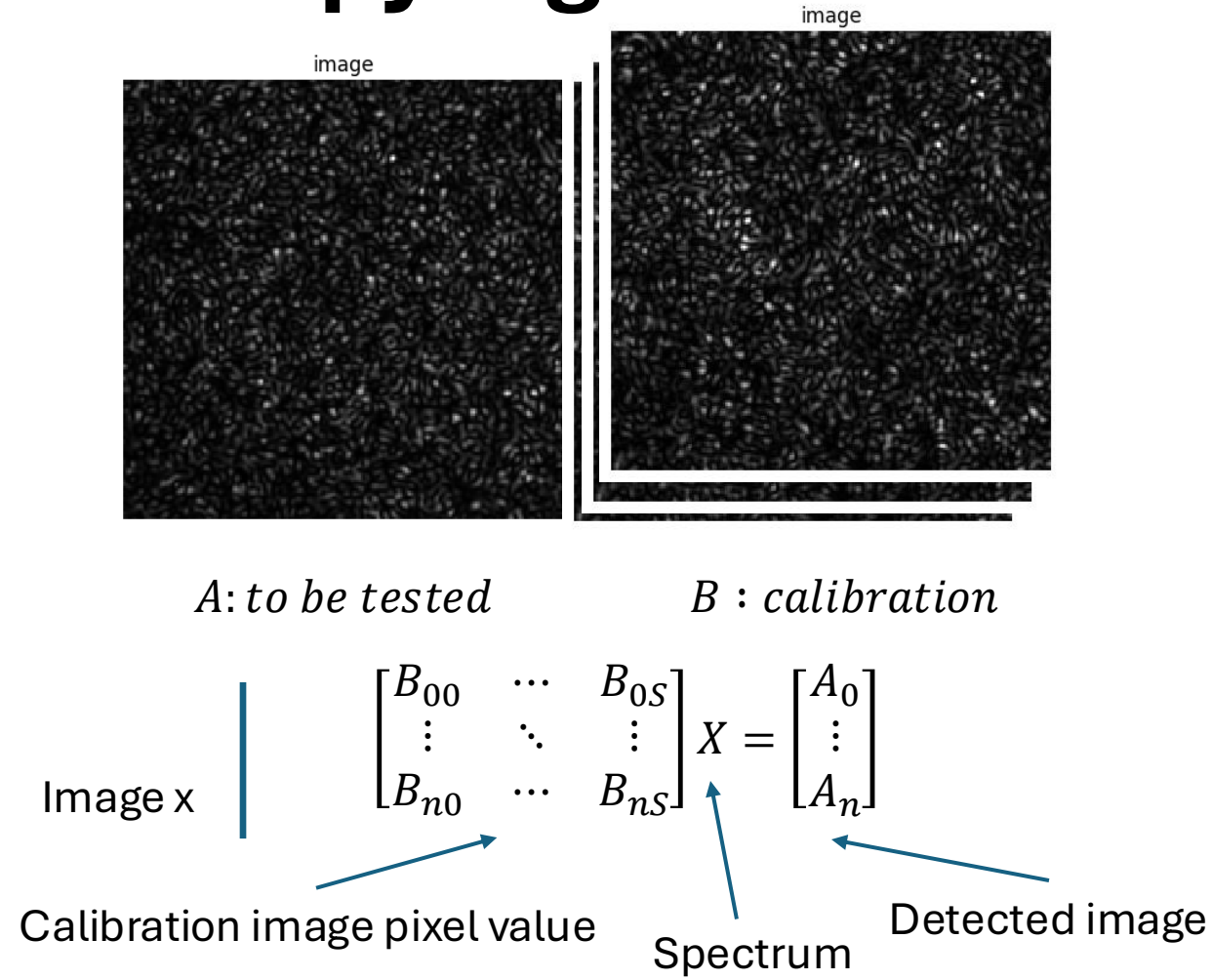


Goal of the project

- To find the best algorithm that improve most the reconstructed spectrum resolution

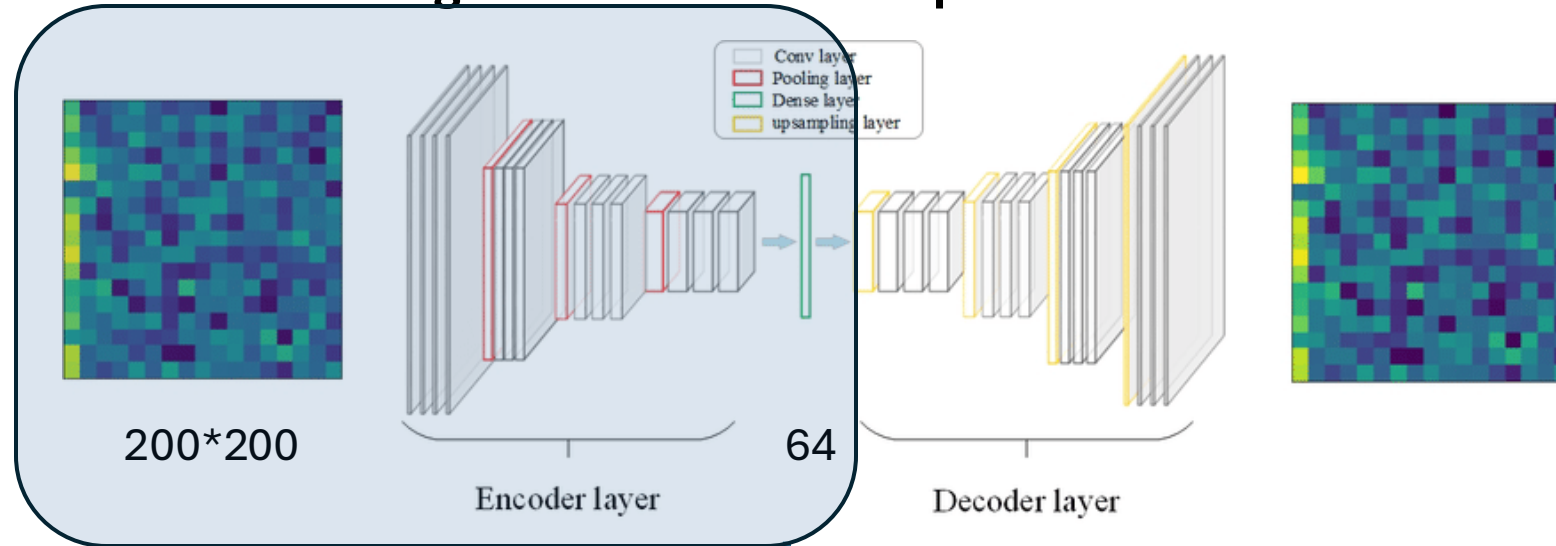
Reconstructional spectroscopy algorithms

- Correlation method
 - $x = B^T \cdot A$
- Matrix method
 - $B \cdot x = A$
 - $x = B^{-1} \cdot A$
 - $x = B^*(BB^*)^{-1} \cdot A$
- Nonlinear method
 - $\min_{x, x \geq 0} \|Bx - A\|_2 + q\|x\|_2 + r\|\delta x\|_2$
- Neural network + Nonlinear
 - Autoencoder + nonlinear optimizer



Convolutional Autoencoder

Self-learnt image filter + Unsupervised feature extraction = Efficient representation

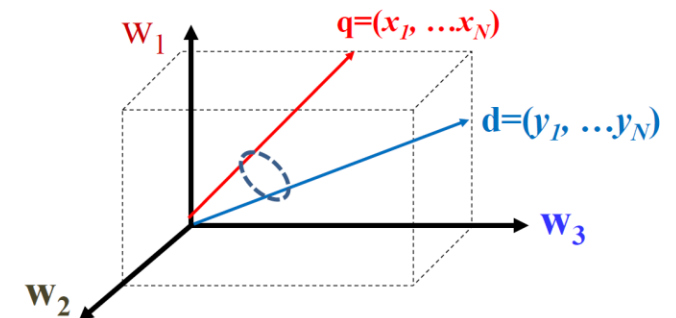


Compression
ratio ~ 625

Similarity Instantiation: Dot Product

$$\text{Sim}(\mathbf{q}, \mathbf{d}) = \mathbf{q} \cdot \mathbf{d} = \mathbf{x}_1 \mathbf{y}_1 + \dots + \mathbf{x}_N \mathbf{y}_N = \sum_{i=1}^N \mathbf{x}_i \mathbf{y}_i$$

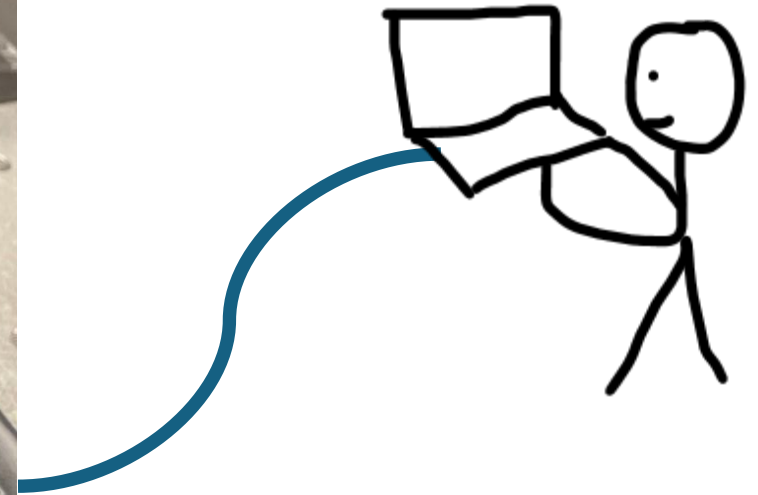
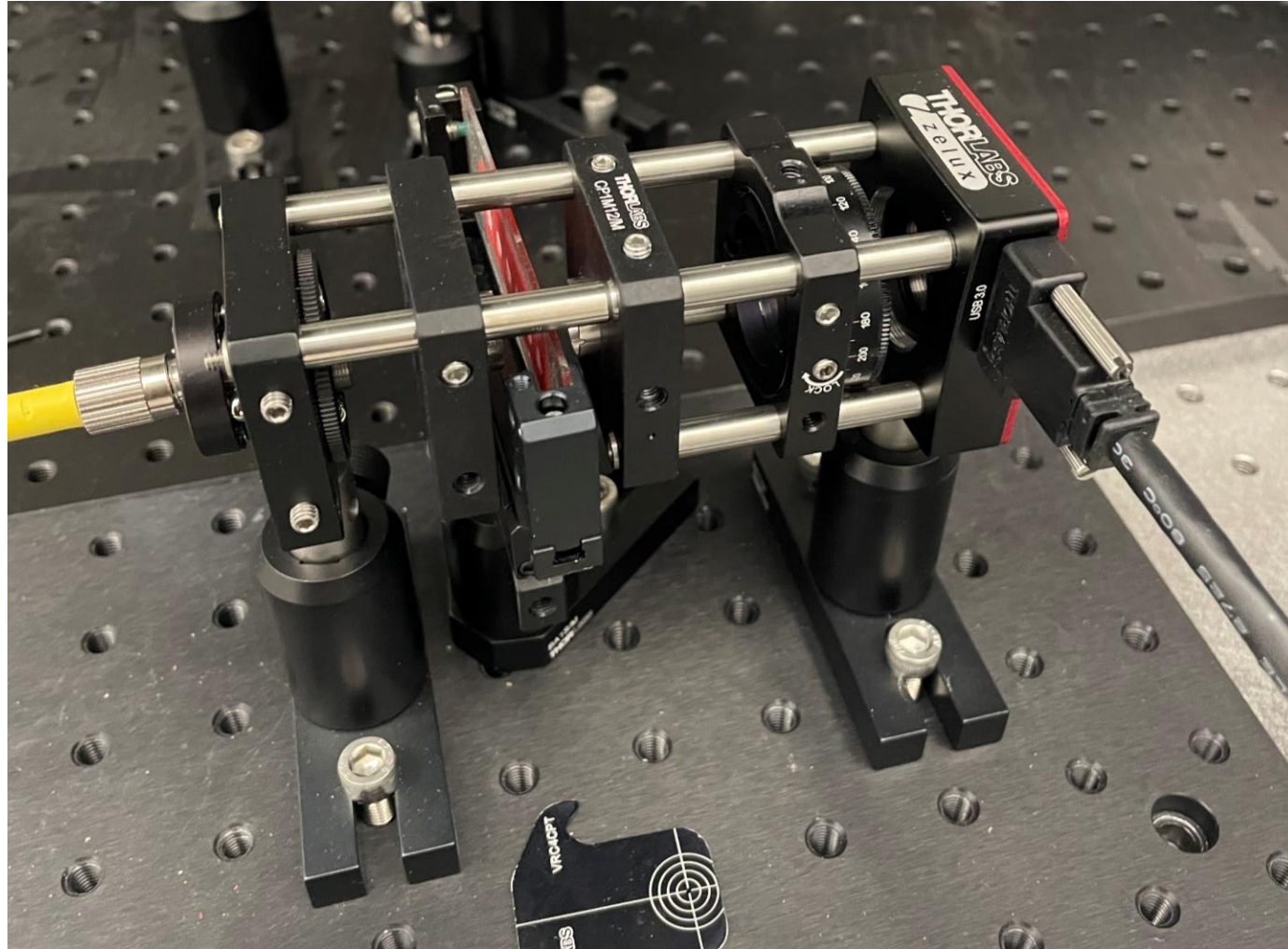
$$\min_{x, x > 0} (\mathbf{A}x - \mathbf{B}) + q \|\mathbf{x}\|_2 + r \|\delta \mathbf{x}\|_2$$



Experiment setup

- **CW laser**
 - **Wavelength range**
 - 765nm – 780nm
 - **Minimum step**
 - 0.005nm
- **Supercontinuum source + SuperK SELECT**
 - 540nm – 700nm
 - 1nm (0.5nm-1.8nm)





CW: Algorithm comparison

- Convex optimization is always the best method.

Correlation method

- $x = B^T \cdot A$

Matrix method

- $B \cdot x = A$

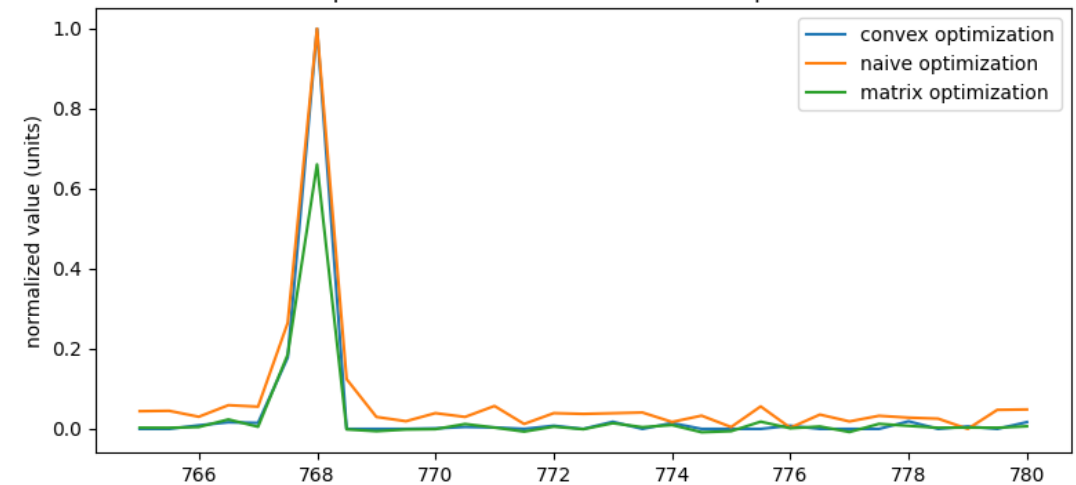
- $x = B^{-1} \cdot A$

- $x = B^*(BB^*)^{-1} \cdot A$

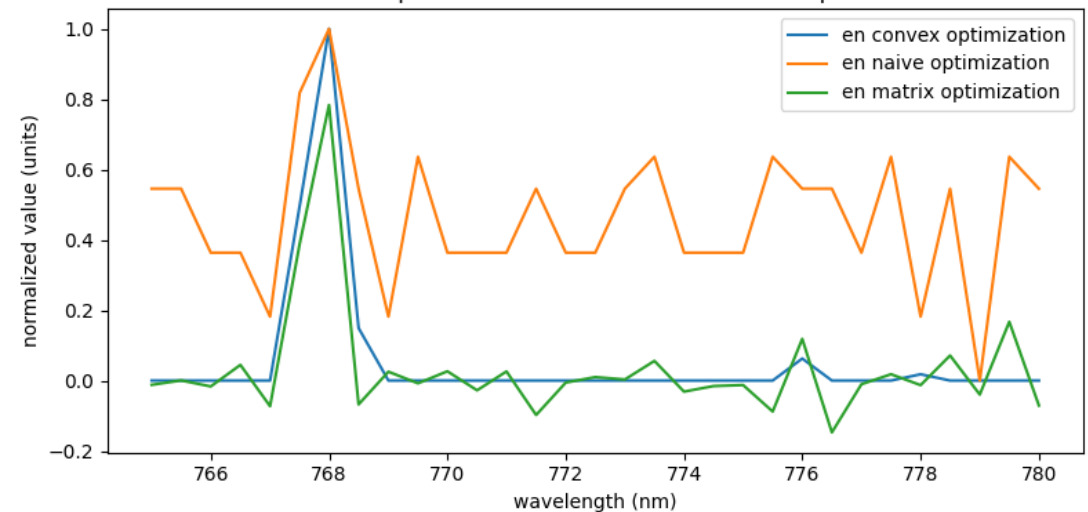
Nonlinear method

- $\min_{x, x \geq 0} \|Bx - A\|_2 + q\|x\|_2 + r\|\delta x\|_2$

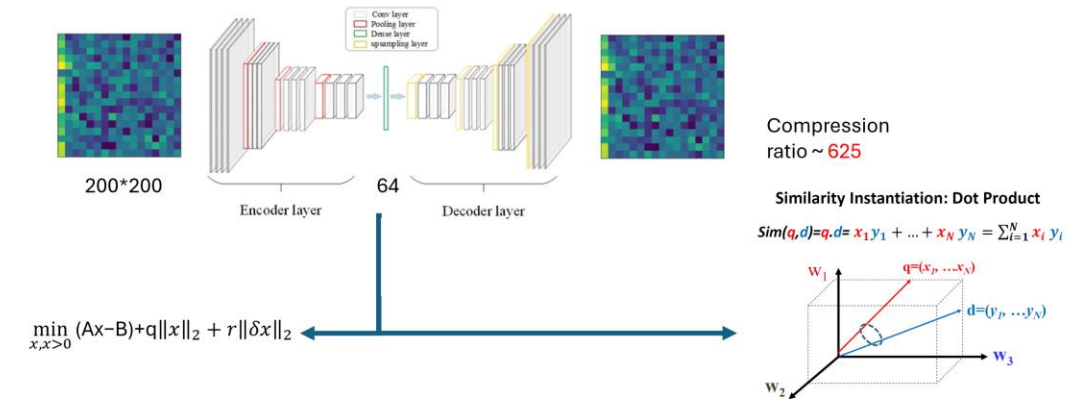
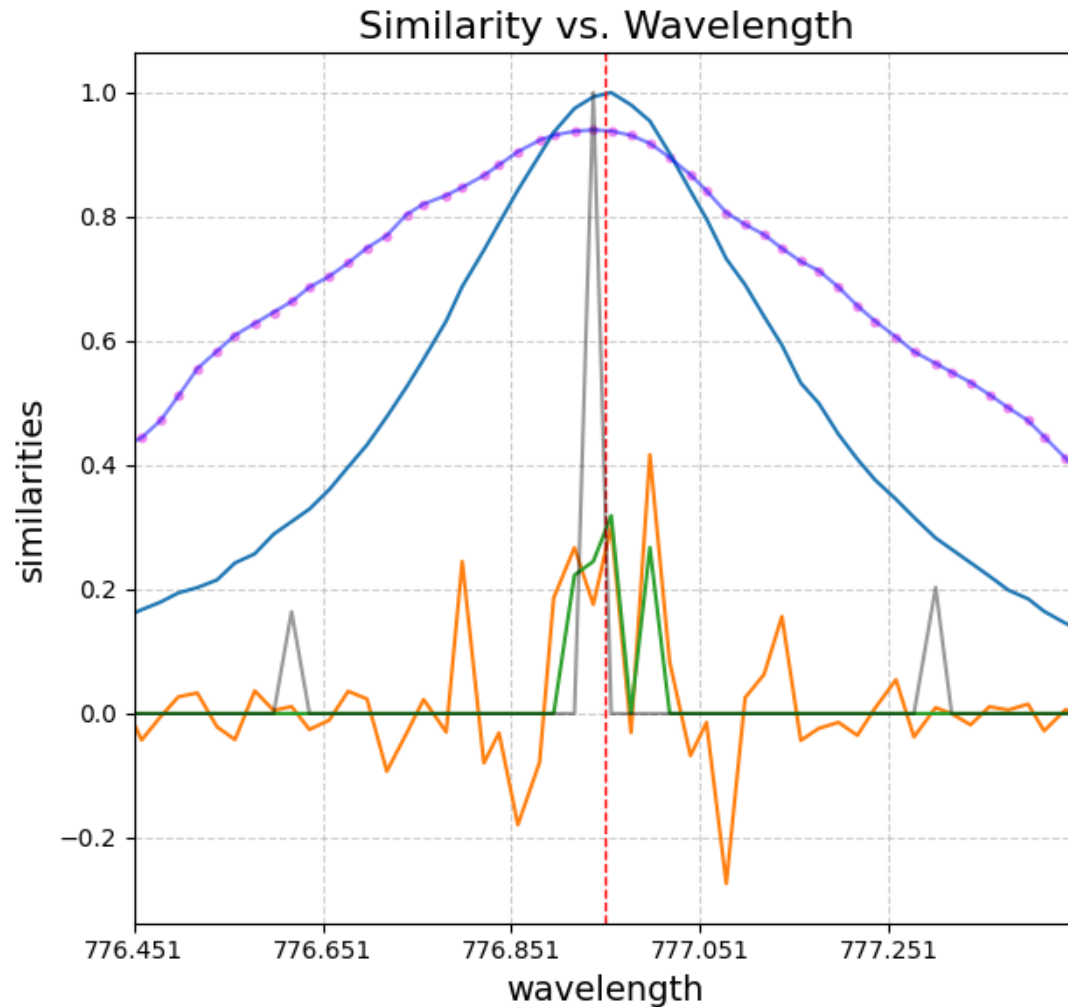
spectrum reconstruction method comparison



encoded spectrum reconstruction method comparison



CW: Algorithm comparison



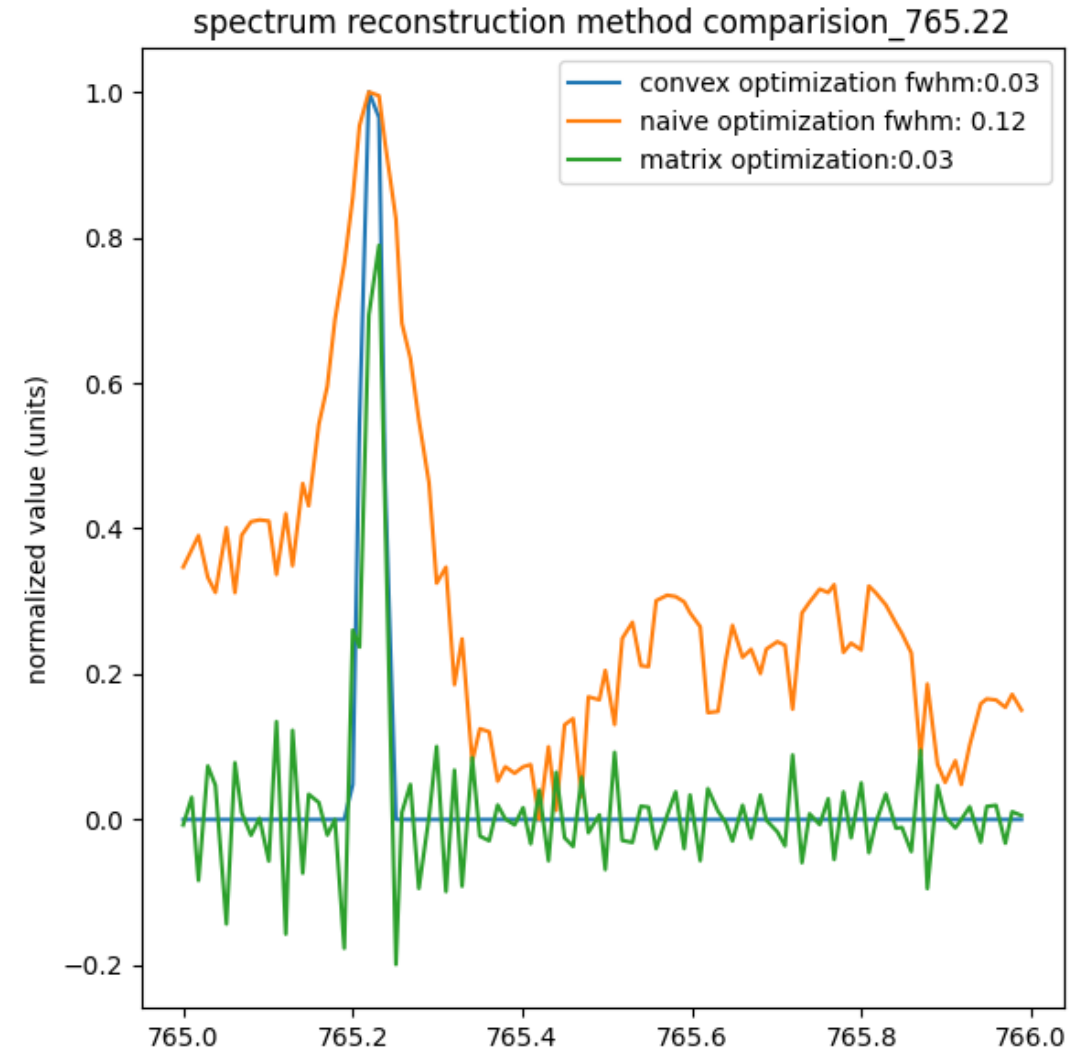
#data= 100

Compression ratio ~ 625

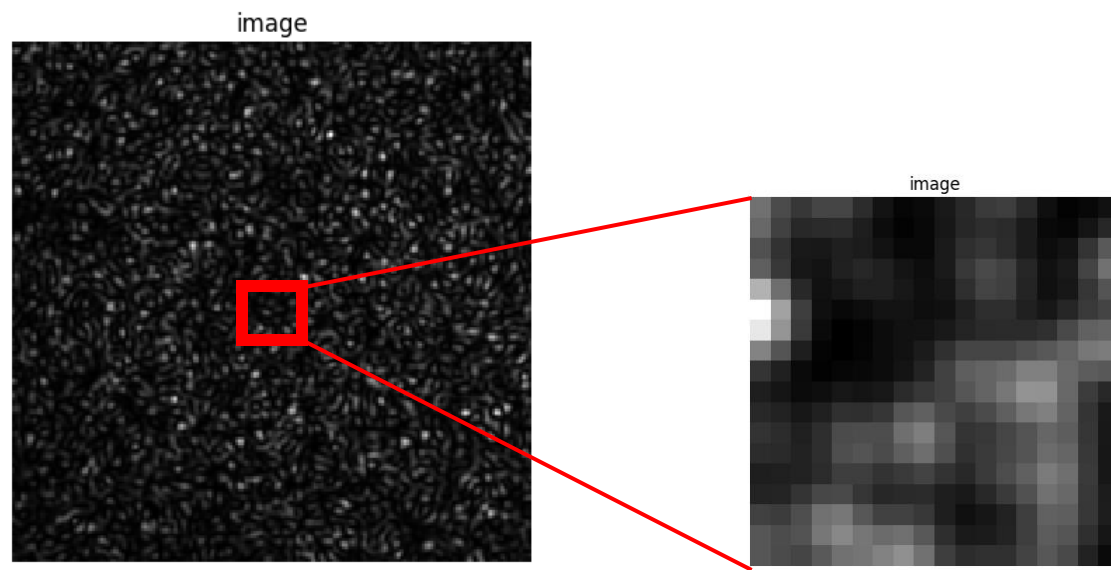
Reconstruction is still available with small dataset and high compression ratio

CW: How good is the spectrometer?

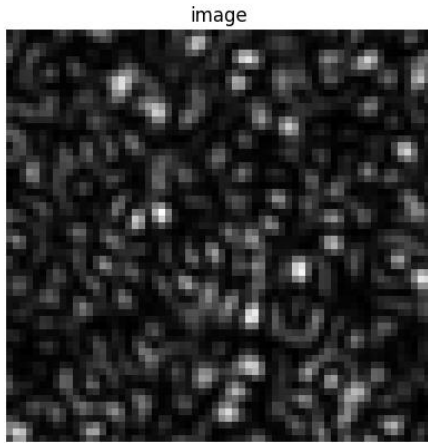
- Resolution
 - Full wavelength at half maximum (FWHM)
 - **0.03nm** for 0.01nm calibration separation



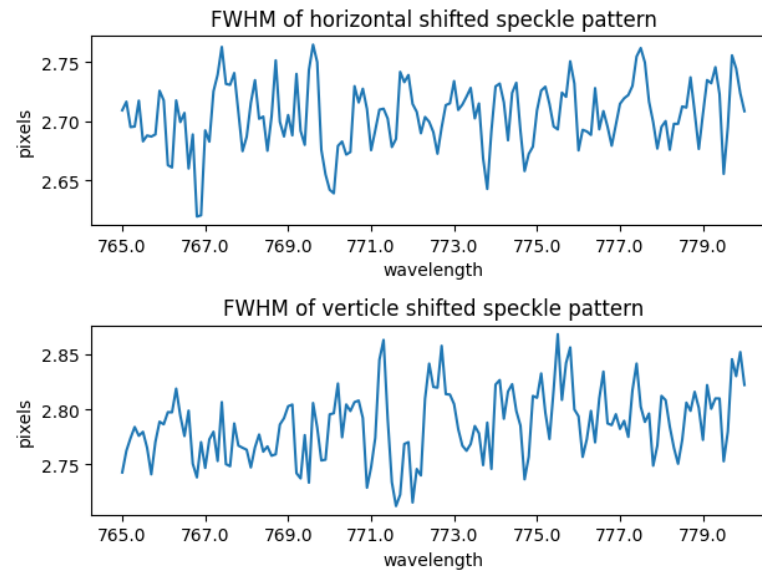
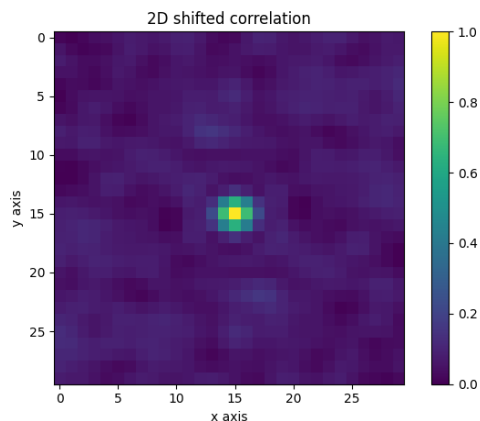
CW: How compact can it be?



CW: how many pixels does one speckle have?

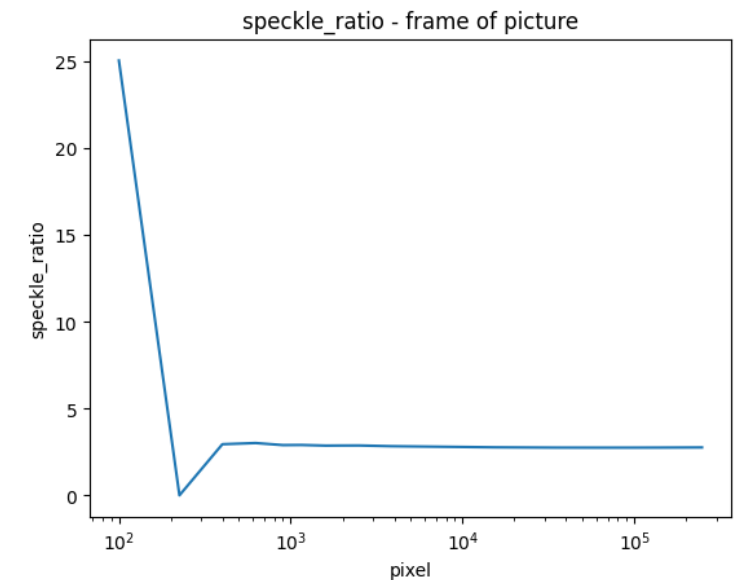


Self-correlation
of shifted image



Scan through
wavelength

Take average and
scan through
different size of image

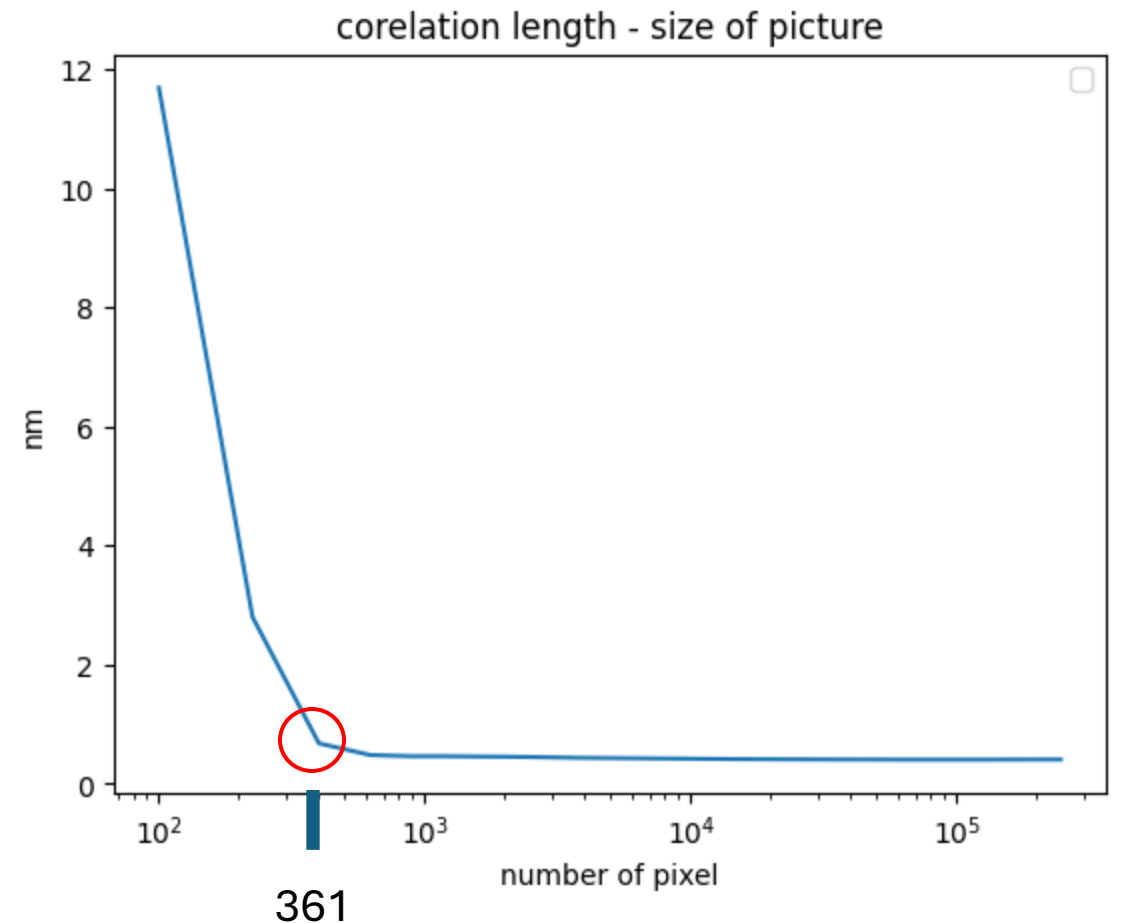
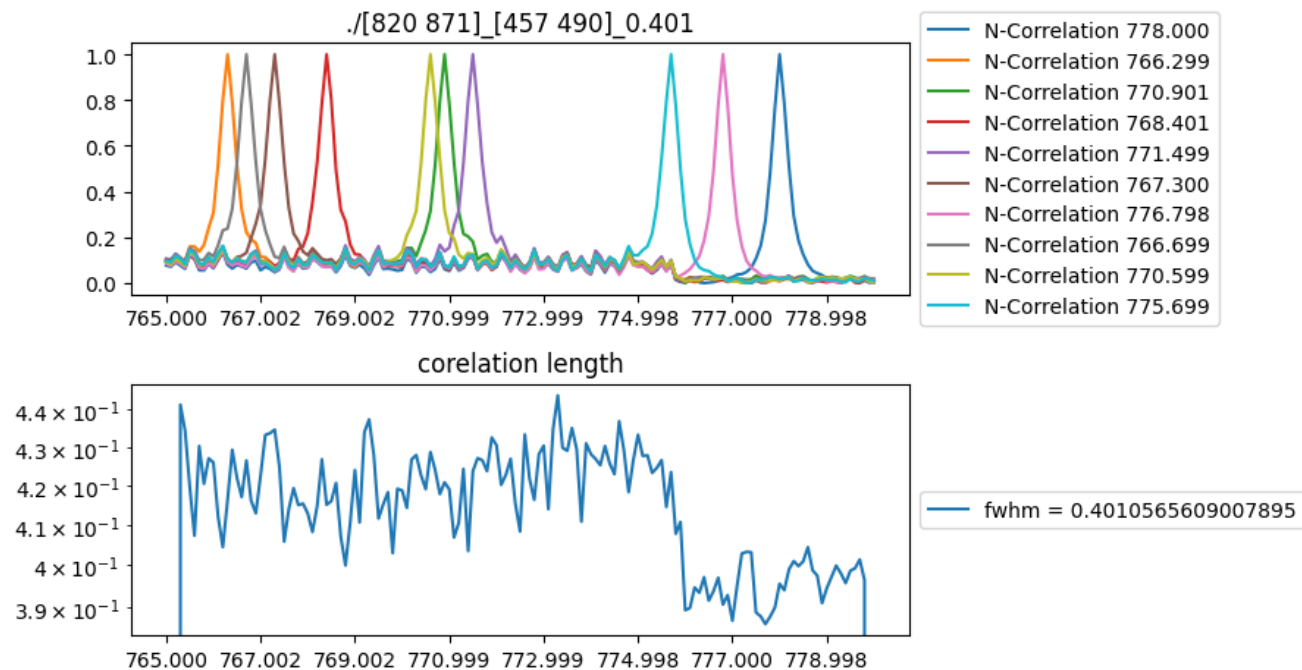


Self-correlation of shifted image

- Speckles take up 8 pixels

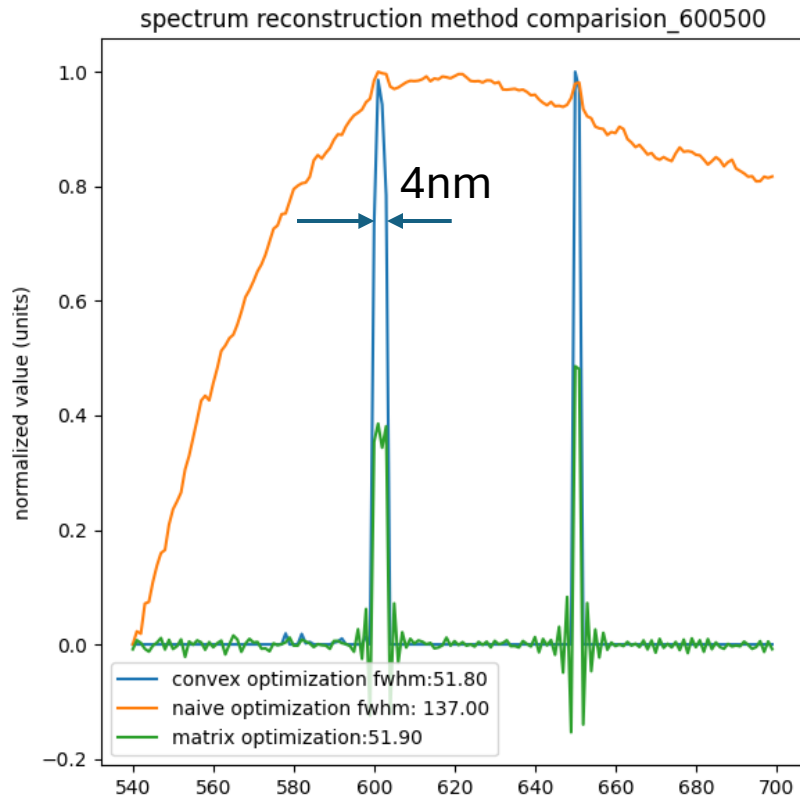
CW: How many speckles do I need?

- 0.01 nm calibration dataset gives 0.4 nm resolution (correlation method)
- Correlation length increase at speckle num = 10

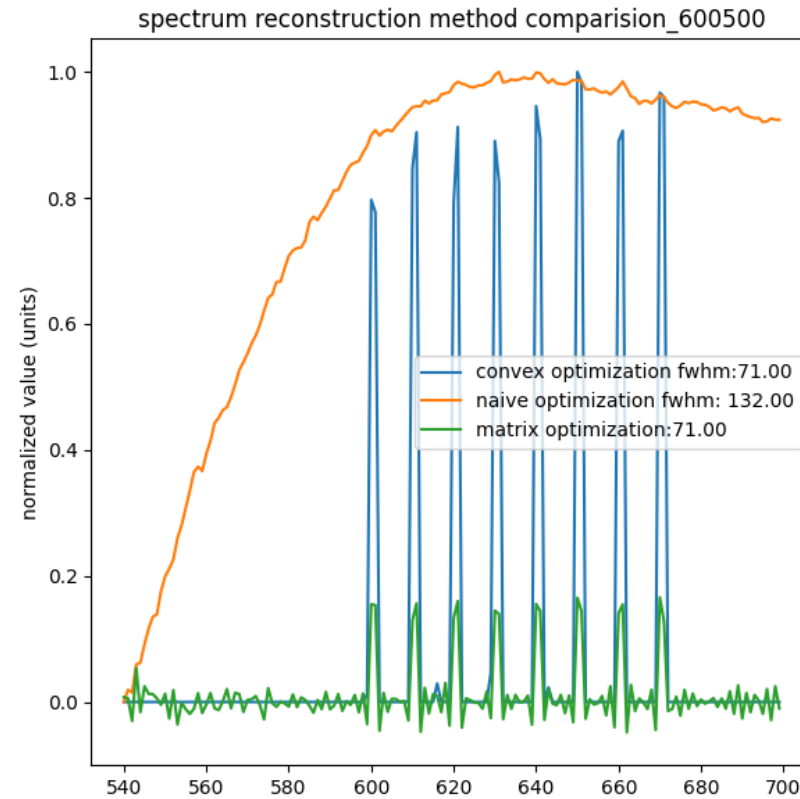


This means we only need 45 speckles, 361 pixels.

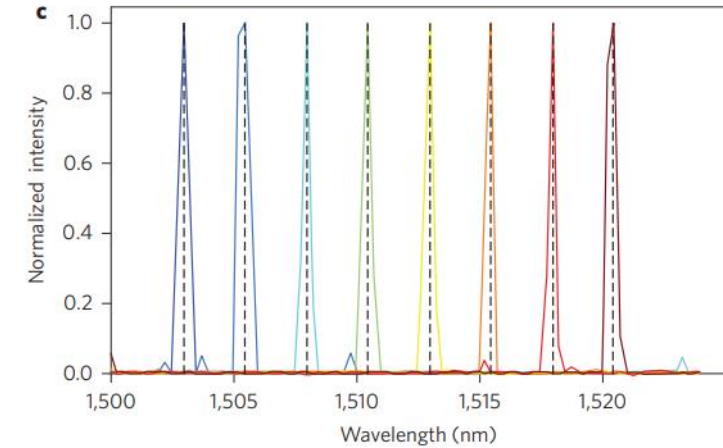
Sup: Spectrum of 8 different wavelength



Calibration from 540nm-700nm with
1nm resolution using super-con source



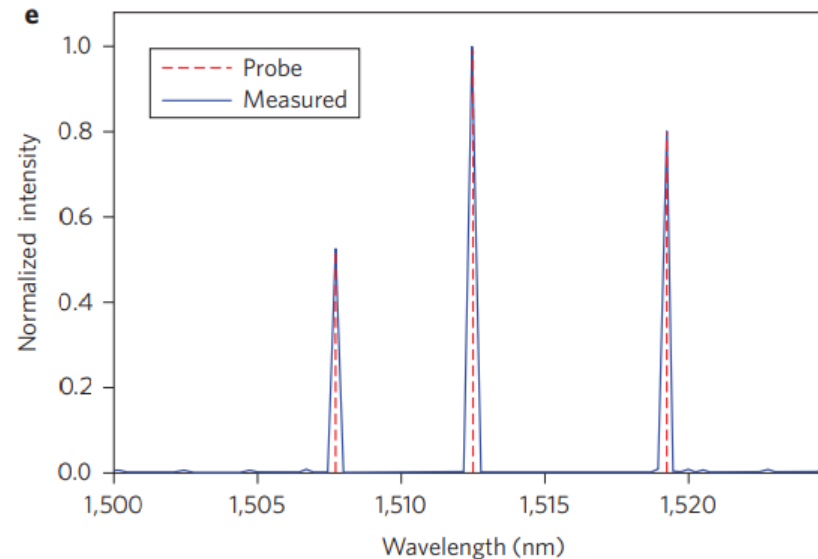
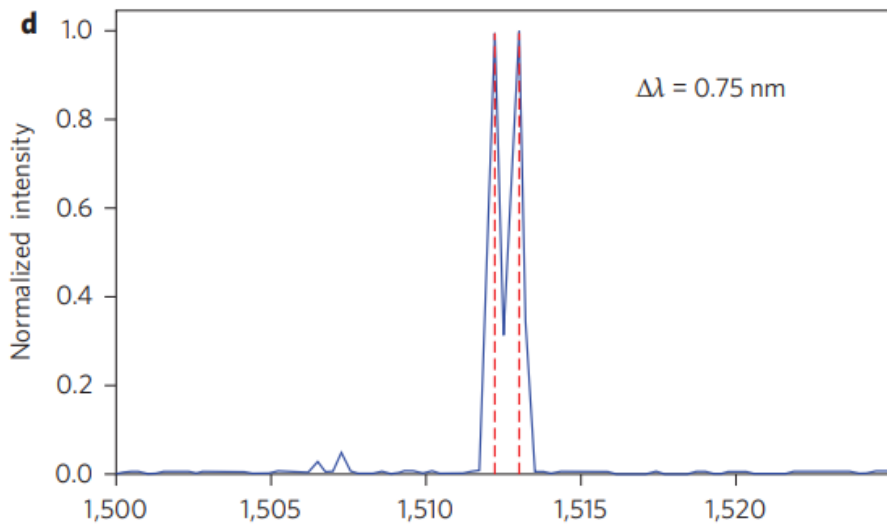
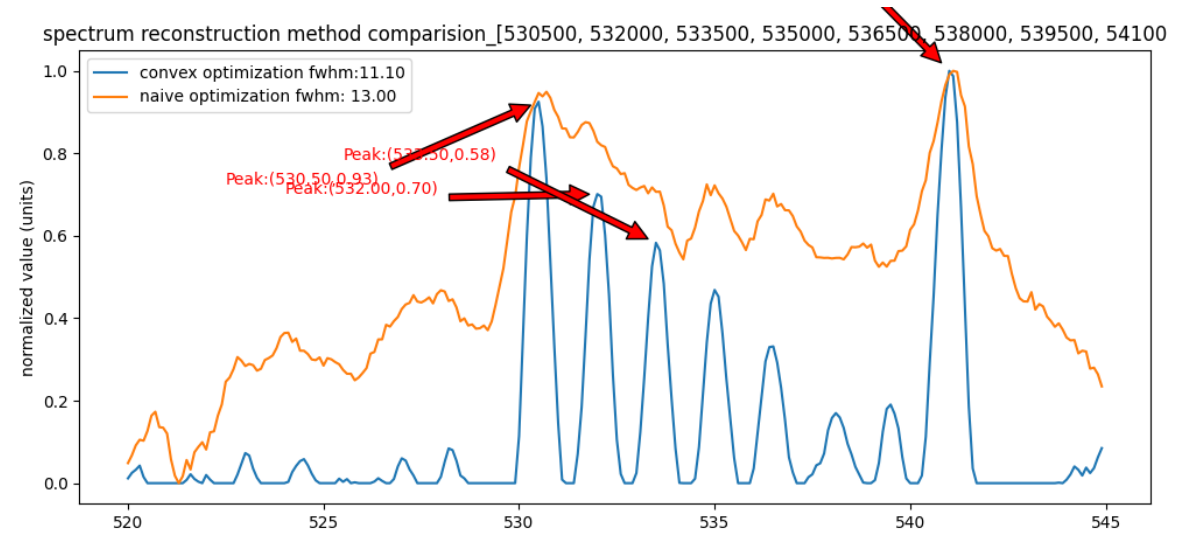
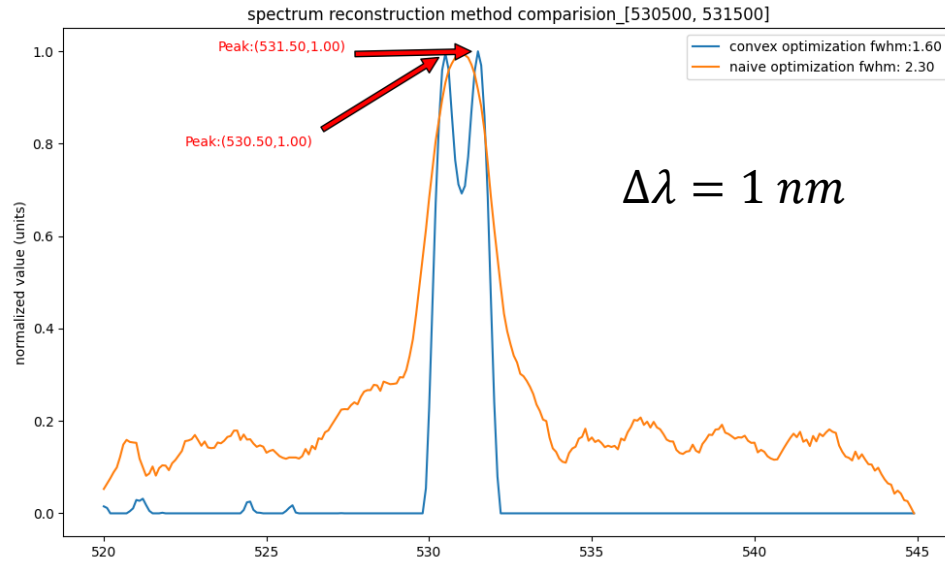
Test light contains 600.5,610.5,620.5
...670.5nm wavelength read by
spectrometer



- Much larger bandwidth
- Comparable resolution, given better calibration

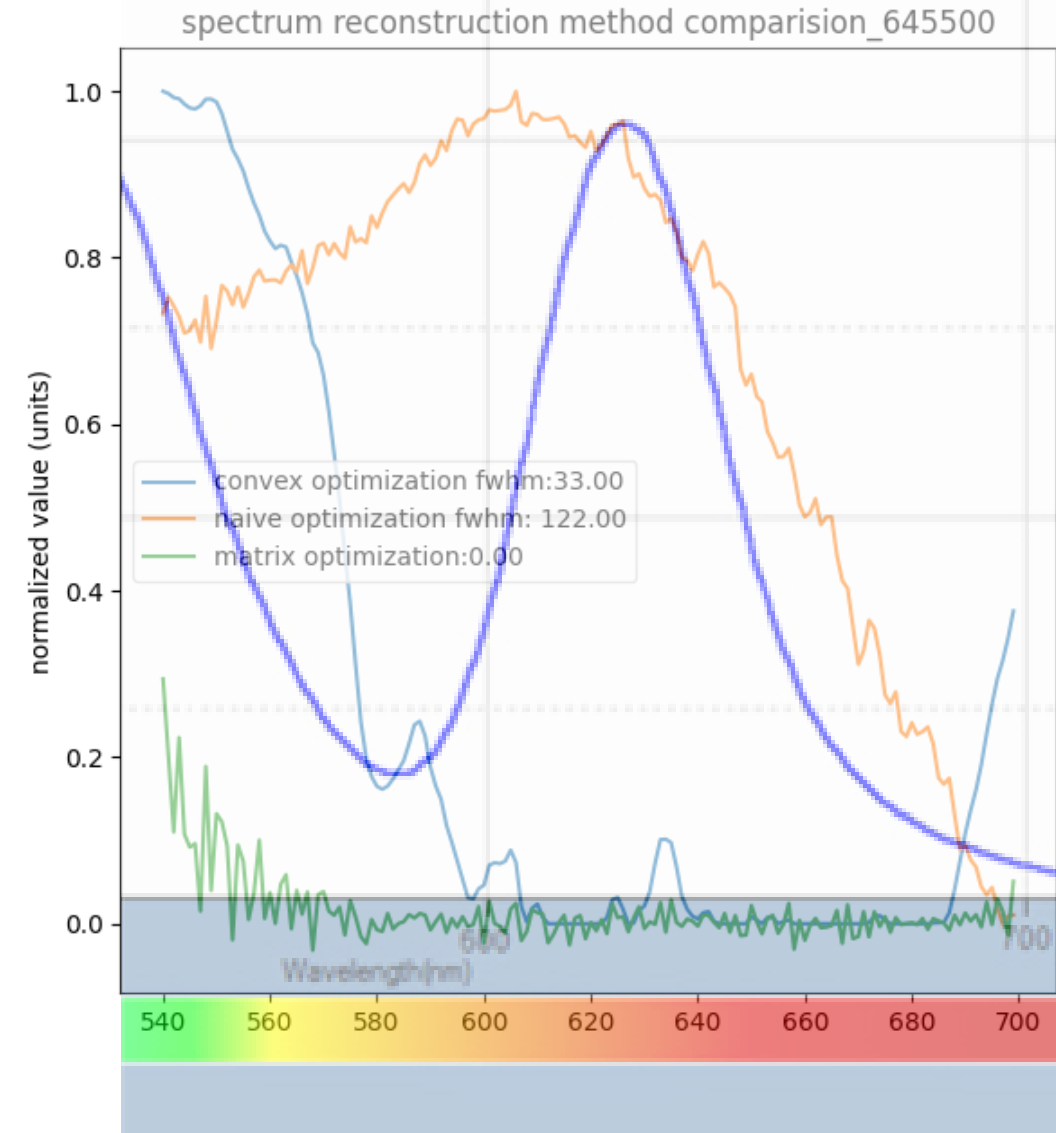
main idea: we can do better than the literature

Sup: Spectrum of 8 different wavelength



Sup: Let do something fun

- Measure flashlight of iphone 12 pro
 - Extremely Low coherence source
 - Bad coupling of flash light on fiber
 - Amplitude fluctuation

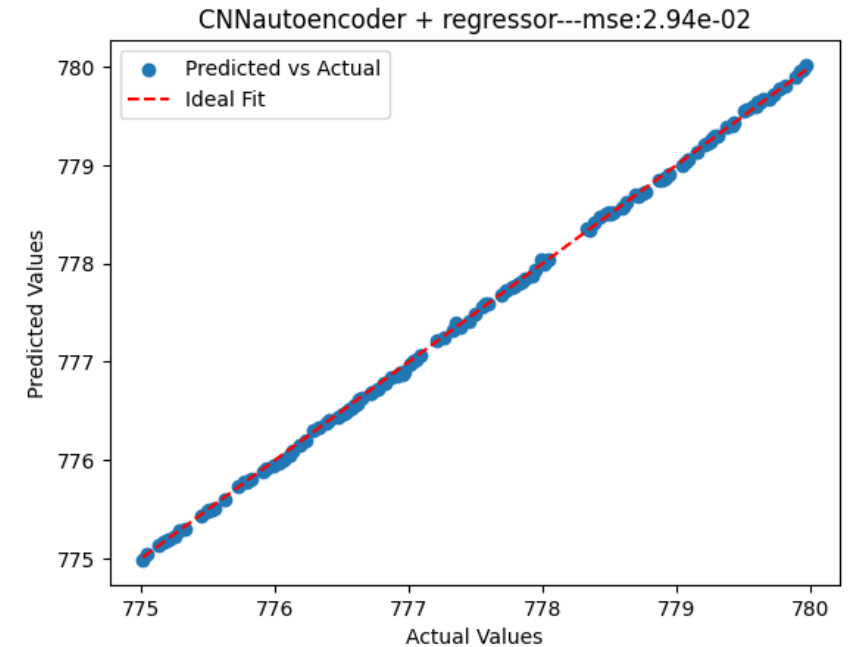


Conclusion

- Nonlinear optimization algorithm exceeds the performance of other algorithm
- Convolutional autoencoder works on compressing data
- Resolution can reach 0.03nm

Outlooks

- Improve resolution
 - Using deep learning to do classification
- Application
 - Measurement of glucose value of blood
 - Regression on the detected parameter
 - Polarization-wavelength sensor
 - Increase data set
 - Second harmonic
- Better define the resolution
 - Signal-to-noise ratio



For NN we have those network implemented:

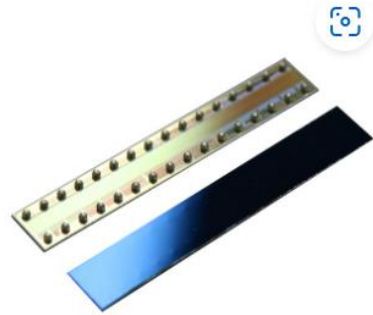
- Metric learning
- **Convolutional variational autoencoder + MLP**
- ResNet
- Transfer learning

Outlooks

Prototyping spectrometer



436 €



34 €



OV5640 - AF
★ Auto Focus
★ 50MM Length 24PIN
★ 5 Million Pixels



2 €



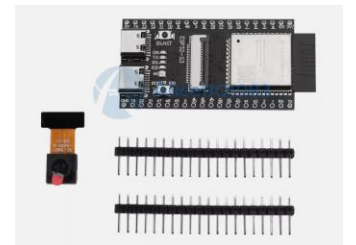
80 €



1 €

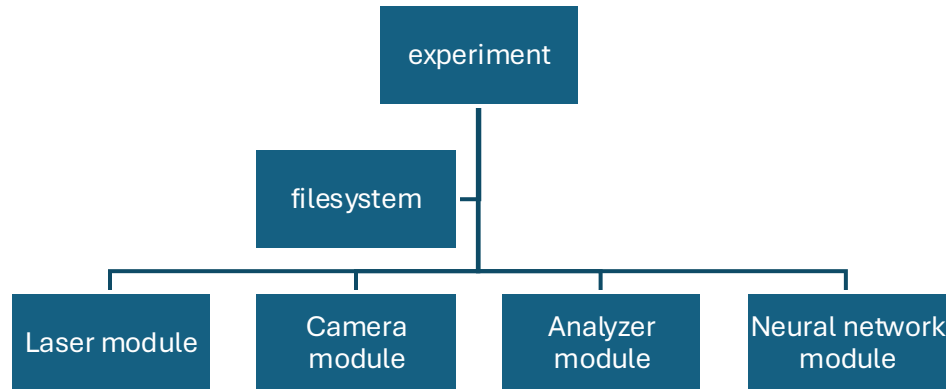


540 €



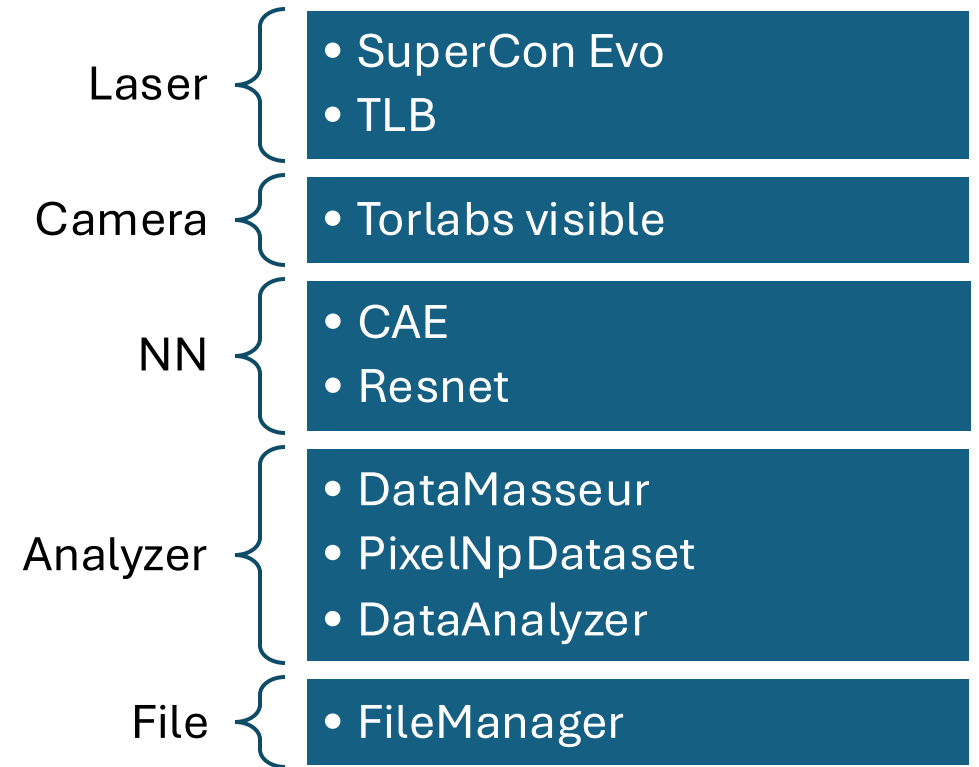
20 €

Outlooks

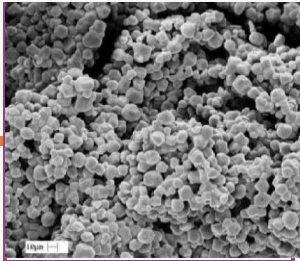


Improve the python program

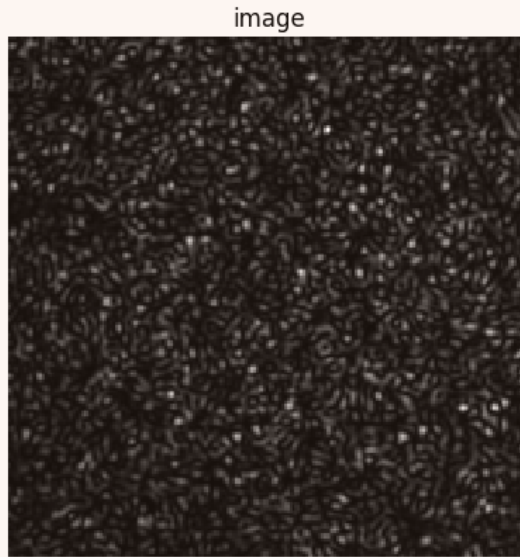
- GUI



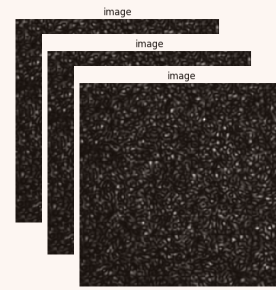
Reconstructional spectrometer



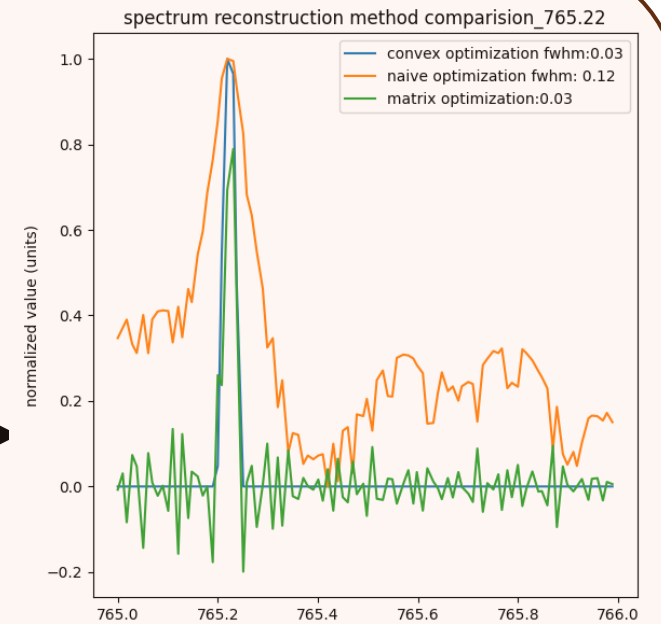
LiNbO_2



Speckle pattern



Reconstruction



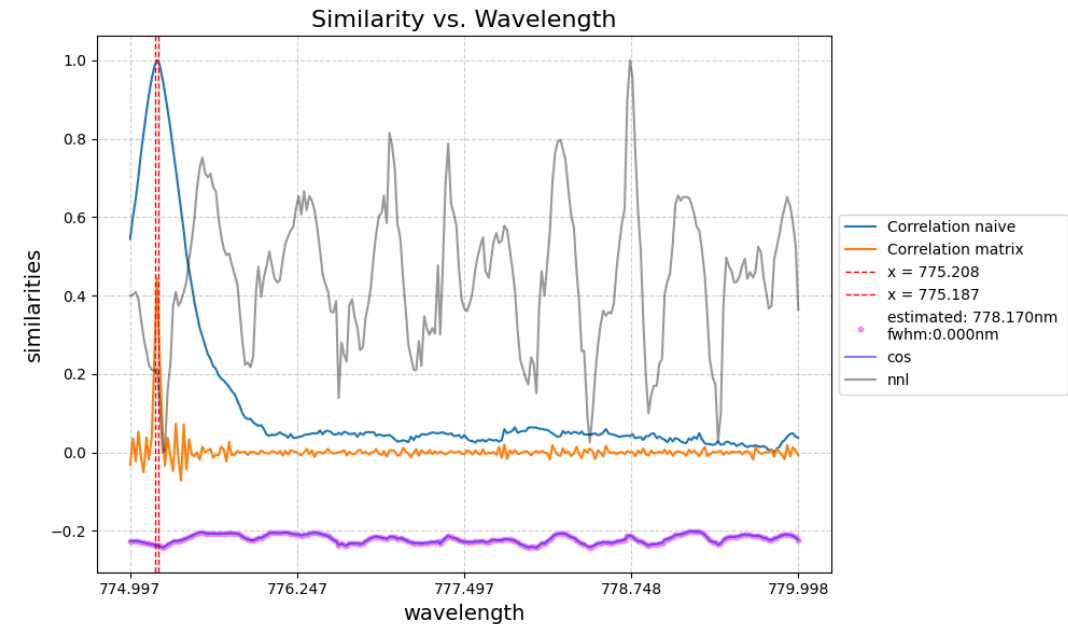
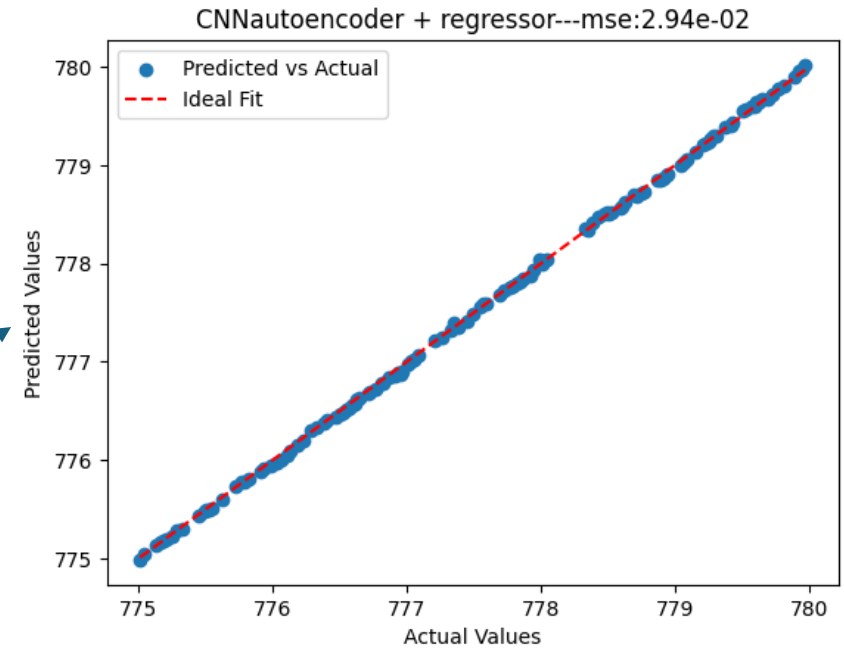
Spectrum

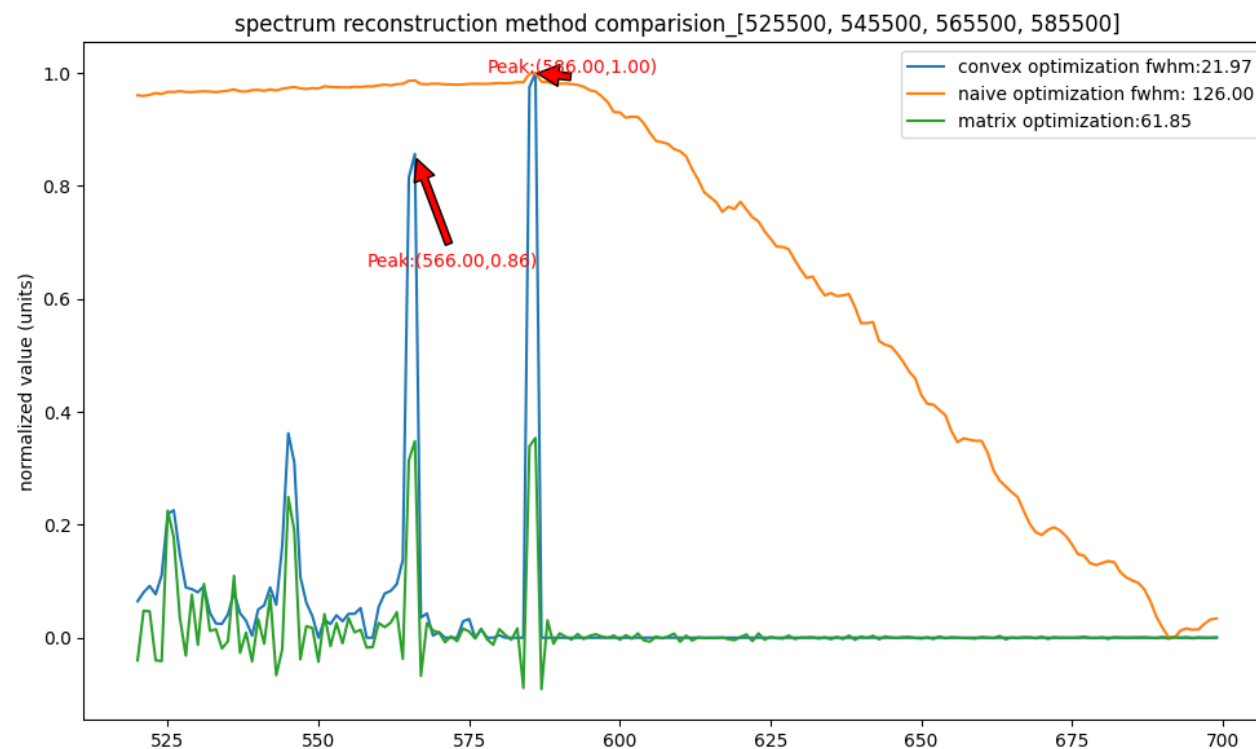
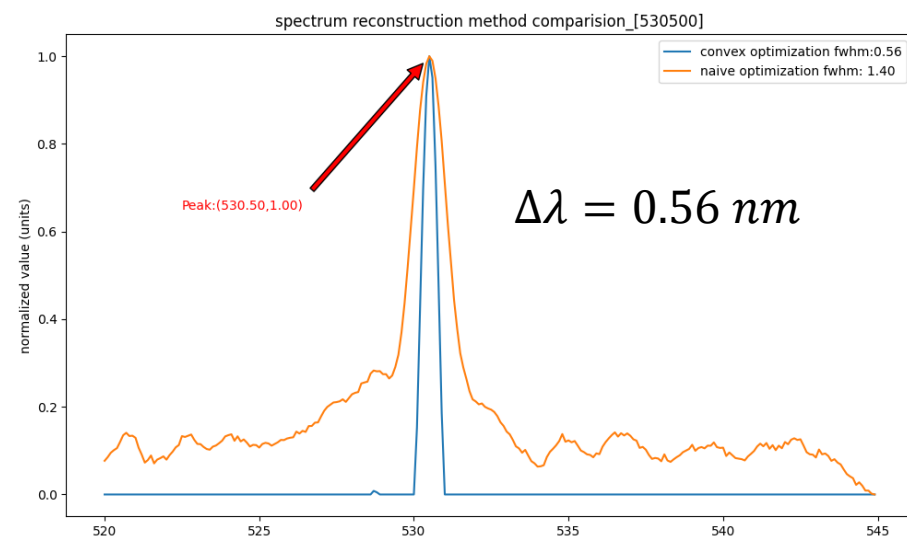
Supplementary

For NN we have those network implemented:

- Metric learning
- **Convolutional variational autoencoder**
- ResNet
- Transfer learning

```
ConvAutoencoder(  
  (encoder): Convencoder(  
    (enc1): Conv2d(1, 16, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1))  
    (enc2): Conv2d(16, 16, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))  
    (enc3): Conv2d(16, 32, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1))  
    (enc4): Conv2d(32, 32, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))  
    (enc5): Conv2d(32, 32, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1))  
    (lin1): Linear(in_features=288, out_features=64, bias=True)  
    (dropout): Dropout(p=0.2, inplace=False)  
  )  
  (decoder): Convdecoder(  
    (lin1): Linear(in_features=64, out_features=288, bias=True)  
    (dec1): ConvTranspose2d(32, 32, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1))  
    (dec2): Conv2d(32, 32, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))  
    (dec3): ConvTranspose2d(32, 16, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1))  
    (dropout): Dropout(p=0.2, inplace=False)  
    (dec4): Conv2d(16, 16, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))  
    (dec5): ConvTranspose2d(16, 1, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1), output_padding=(1, 1))  
  )  
)
```





Possible direction of neural network approach

- Information theory based compression algorithm
- Possibility to generalization:
 - Multi-label input
 - Temperature, material type, position..
 - Compress experiment setup into 64 dimensions space
 - Increase dataset
 - 10000 pictures
 - Variational autoencoder
- Note the position of calibrated point on sample

Experiment class overview

```
class Super_con(BaseLaserController):
>     def __init__(self,name,RF_PORT='COM3',Se
>     def set_module_address_select(self,new_a
>     def connect_laser(self): ...
>     def open_laser(self): ...
>     def close_laser(self): ...
>     def close_connection(self): ...
>     def change_laser_power(self,value): ...
>     def change_laser_mode(self,setup_num): ...
>     def enable_interlock(self): ...
>     def turn_on_RF(self): ...
>     def turn_off_RF(self): ...
>     def turn_laser_channel(self,wavelength,a
>     def read_status(self): ...
>     @staticmethod
>     def connect_port(): ...
```

✓ 780\1507\Yu

✓ Calibration

✓ Data

✓ Images

```
class Calibration(BaseExperiment):
    def __init__(self,name,file_module,laser_module,camera_module,analyzer):
        super().__init__(name,file_module)
        self.laser = laser_module
        self.camera = camera_module
        self.analyzer = analyzer
    def initialization_laser(self):
        self.laser.connect_laser()
        self.laser.open_laser()
        self.laser.change_laser_mode(0)
        self.laser.change_laser_power(900) # 50%
        self.laser.enable_interlock()
    def initialization_RF(self):
        self.laser.turn_on_RF()
    def clean_up(self):
        self.laser.turn_off_RF()
        self.laser.close_laser()
        self.laser.close_connection()
    def calibrate(self,range_low,range_high,step):
        self.initialization_laser()
        self.initialization_RF()
        channel = 0
        self.analyzer.data = {}
        cropped = {}
```

You, 4 days ago • python Experiment system added. IR Camera rewra...